

**Annual Withholding Tax Return for U.S. Source
Income of Foreign Persons**

OMB No. 1545-0096

2014► Information about Form 1042 and its separate instructions is at www.irs.gov/form1042.If this is an amended return, check here ☐

Name of withholding agent

Employer identification number

For IRS Use Only

Ch. 3 Status Code

Ch. 4 Status Code

Number, street, and room or suite no. (if a P.O. box, see instructions)

City or town, state or province, country, and ZIP or foreign postal code

CC

FD

RD

FF

CAF

FP

CR

I

EDC

SIC

If you do not expect to file this return in the future, check here ☐ Enter date final income paid ►**Section 1 Record of Federal Tax Liability** (Do not show federal tax deposits here)

Line No.	Period ending	Tax liability for period (including any taxes assumed on Form(s) 1000)	Line No.	Period ending	Tax liability for period (including any taxes assumed on Form(s) 1000)	Line No.	Period ending	Tax liability for period (including any taxes assumed on Form(s) 1000)
1		7	21		7	41		7
2	Jan.	15	22	May	15	42	Sept.	15
3		22	23		22	43		22
4		31	24		31	44		30
5	Jan. total		25	May total		45	Sept. total	
6		7	26		7	46		7
7	Feb.	15	27	June	15	47	Oct.	15
8		22	28		22	48		22
9		28	29		30	49		31
10	Feb. total		30	June total		50	Oct. total	
11		7	31		7	51		7
12	Mar.	15	32	July	15	52	Nov.	15
13		22	33		22	53		22
14		31	34		31	54		30
15	Mar. total		35	July total		55	Nov. total	
16		7	36		7	56		7
17	Apr.	15	37	Aug.	15	57	Dec.	15
18		22	38		22	58		22
19		30	39		31	59		31
20	Apr. total		40	Aug. total		60	Dec. total	

61 No. of Forms 1042-S filed: **a** On paper **b** Electronically**62** Total gross amounts reported on all Forms 1042-S and 1000:

a Total U.S. source FDAP income (other than U.S. source substitute payments) reported	62a	
b Total U.S. source substitute payments reported:		
(1) Total U.S. source substitute dividend payments reported	62b(1)	
(2) Total U.S. source substitute payments reported other than substitute dividend payments	62b(2)	
c Total gross amounts reported (Add lines 62a-b)	62c	
d Enter gross amounts actually paid if different from gross amounts reported	62d	

Third Party DesigneeDo you want to allow another person to discuss this return with the IRS (see instructions)? ☐ Yes. Complete the following. ☐ No

Designee's name

Phone no.

Personal identification number (PIN)

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than withholding agent) is based on all information of which preparer has any knowledge.

Your signature

Date

Capacity in which acting

Daytime phone number

Paid Preparer Use Only

Print/Type preparer's name

Preparer's signature

Date

Check ☐ if self-employed

PTIN

Firm's name

Firm's EIN

Firm's address

Phone no.

63	Total tax reported as withheld or assumed on all Forms 1042-S and 1000:	
a	Taxes withheld by withholding agent	63a
b	Taxes withheld by other withholding agents:	
	(1) For payments other than substitute dividends	63b(1)
	(2) For substitute dividends	63b(2)
c	Reimbursements	63c ()
d	Tax assumed by withholding agent	63d
e	Total tax reported as withheld or assumed (Add lines 63a-d)	63e
64	Total net tax liability	
a	Adjustments of underwithheld tax on corporate distributions made during the calendar year	64a
b	Total net tax liability under chapter 3	64b
c	Total net tax liability under chapter 4	64c
d	Excise tax on specified federal procurement payments (Total payments made x 2%)	64d
e	Total net tax liability (Add lines 64 a-d)	64e
65	Total paid by electronic funds transfer (or with a request for extension of time to file)	65
66	Enter overpayment applied as credit from 2013 Form 1042	66
67	Credit for amounts withheld by other withholding agents:	
a	For payments other than substitute dividend payments	67a
b	For substitute dividend payments	67b
68	Total payments. Add lines 65 through 67	68
69	If line 64e is larger than line 68, enter balance due here	69
70a	Enter overpayment attributable to overwithholding on U.S. source income of foreign persons	70a
b	Enter overpayment attributable to excise tax on specified federal procurement payments	70b
71	Apply overpayment (sum of lines 70a and 70b) to (check one) : <input type="checkbox"/> Credit on 2015 Form 1042 or <input type="checkbox"/> Refund	

Section 2 Reconciliation of Payments of U.S. Source FDAP Income-Optional

1	Total U.S. source FDAP income required to be withheld upon under chapter 4	1
2	Total U.S. source FDAP income required to be reported under chapter 4 but not required to be withheld upon under chapter 4 because:	
a	Amount of income paid to recipients whose chapter 4 status established no withholding is required	2a
b	Amount of excluded nonfinancial payments (see instructions)	2b
c	Amount of income paid with respect to grandfathered obligations	2c
d	Amount of income effectively connected with the conduct of a trade or business in the U.S.	2d
e	Amount of excluded offshore payment	2e
f	Total U.S. source FDAP income required to be reported under chapter 4 but not required to be withheld upon under chapter 4 (Add lines 2a-e)	2f
3	Total U.S. source FDAP income reportable under chapter 4 (Add lines 1 and 2f)	3
4	Total U.S. source FDAP income reported on all Forms 1042-S (from line 62a, (b)(1), and (b)(2))	4
5	Total variance, subtract line 3 from line 4, if amount other than 0 provide explanation on line 6	5
6		

Section 3 Notional principal contract payments and other payments on derivative contracts that reference (in whole or in part) a U.S. security

Check here if any payments (including gross proceeds) were made by the withholding agent under notional principal contracts or other derivatives contracts that reference (in whole or in part) a U.S. security (see instructions) ☐